

16623 U.S. PTO
09/15/03

Practitioner's Docket No. 10448

PATENT

22386 U.S. PTO
10/662632
09/15/03

Preliminary Classification:

Proposed Class: 40

Subclass

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): David Fu

For (title): Display Holders for Flat Items

1. Type of Application

This new application is for a(n)

(check one applicable item below)

- ☒ Original (nonprovisional)
- ☐ Design
- ☐ Plant
- ☐ Divisional.
- ☐ Continuation.
- ☐ Continuation-in-part (C-I-P).

2. Benefit of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s), specifically:

Provisional Application Number 60/447,154

EXPRESS MAILING UNDER 37 C.F.R. §1.10

I hereby certify that this paper, along with any documents referred to, is being deposited with the United States Postal Service on this date September 15, 2003, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. ER 080945917 US

Margaret Switzer

Margaret Switzer
Signature of person certifying

3. Papers Enclosed

A. Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or
37 C.F.R. § 1.153 (Design) Application

21 Pages of specification

23 Pages of claims

5 Sheets of drawing

☐ The enclosed drawing(s) are photograph(s).

☐ The enclosed drawing(s) are in color. Three (3) sets of color drawings and a "PETITION TO ACCEPT COLOR DRAWING(S)" are attached. 37 C.F.R. §§ 1.84(a)(2) and 1.84(b).

☐ formal

☒ informal

B. Other Papers Enclosed

7 Pages of declaration and power of attorney

1 Pages of abstract

 Pages of Application Data Sheet

4. Additional papers enclosed

☐ Amendment to claims

☐ Cancel in this applications claims before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

☐ Add the claims shown on the attached amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)

☐ Preliminary Amendment

☐ Information Disclosure Statement (37 C.F.R. § 1.98)

☐ Form PTO-1449 (PTO/SB/08A and 08B)

☐ Citations

☐ Declaration of Biological Deposit

☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative

☐ Special Comments

☐ Other

5. Declaration or oath (including power of attorney)

☒ Enclosed

Executed by

(check all applicable boxes)

☒ inventor(s).

☐ legal representative of inventor(s). 37 C.F.R. §§ 1.42 or 1.43.

☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.

☐ This is the petition required by 37 C.F.R. § 1.47 and the statement required by 37 C.F.R. § 1.47 is also attached. See item 13 below for fee.

☐ Not Enclosed.

☐ Application is made by a person authorized under 37 C.F.R. § 1.41(c) on behalf of (*all*) the above named inventor(s).

6. Inventorship Statement

The inventorship for all the claims in this application are:

☒ The same.

or

☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,

☐ is submitted.

☐ will be submitted.

7. Language

☒ English

☐ Non-English

☐ The attached translation includes a statement that the translation is accurate. 37 C.F.R. § 1.52(d).

8. Assignment

☒ An assignment of the invention to ULTRA PRO L.P.

☒ is attached. A separate ☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☒ FORM PTO 1595 is also attached.

☐ will follow.

☐ This is a ☐ continuation ☐ divisional application and the assignment document for the parent application 0_____/_____ was filed on _____.

Reel _____

Frame _____

9. Certified Copy

Certified copy(ies) of application(s)

Country	Appln. No.	Filed
Country	Appln. No.	Filed
Country	Appln. No.	Filed
from which priority is claimed		
<input type="checkbox"/> is (are) attached.		
<input type="checkbox"/> will follow.		

10. Fee Calculation (37 C.F.R. § 1.16)A. ☒ Regular application

CLAIMS AS FILED			
Number filed	Number Extra	Rate	Basic Fee 37 C.F.R. §1.16(a) \$750.00
Total Claims (37 C.F.R. § 1.16(c))	67 - 20 =	47 X \$ 18.00	846.00
Independent Claims (37 C.F.R. § 1.16(b))	6 - 3 =	3 X \$ 84.00	252.00
Multiple dependent claim(s), if any § 1.16(d))		+ \$280.00	0

☐ Amendment cancelling extra claims is enclosed.☐ Amendment deleting multiple-dependencies is enclosed.☐ Fee for extra claims is not being paid at this time.Filing Fee Calculation \$ 1,848.00B. ☐ Design application
(\$330.00—37 C.F.R. § 1.16(f))

Filing Fee Calculation \$ _____

C. ☐ Plant application
(\$510.00—37 C.F.R. § 1.16(g))

Filing fee calculation \$ _____

11. Assertion of Small Entity Status

☒ Applicant hereby asserts status as a small entity under 37 C.F.R. § 1.27

☐ Status as a small entity was asserted in the prior application

_____ filed on _____, from which benefit
is being claimed for this application under

35 U.S.C. § ☐ 119(e)

☐ 120

☐ 121

☐ 365(c)

and which status as a small entity is still proper and asserted for this application.

☐ A copy of the written assertion of small entity filed in the prior application is included.

Filing Fee Calculation (50% of A, B or C above)

\$ 924.00

12. Request for International-Type Search (37 C.F.R. § 1.104(d))

(complete, if applicable)

☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment Being Made at This Time

☐ Not Enclosed

☐ No filing fee is to be paid at this time.

☒ Enclosed

☒ Filing fee

\$ 924.00

☒ Recording assignment

\$ 40.00

☐ Petition fee for filing by other than all
the inventors or person on behalf of the inventor
where inventor refused to sign or cannot be reached
(\$130.00; 37 C.F.R. §§ 1.47 and 1.17(i))

\$ _____

☐ For processing an application with a specification in a
non-English language
(\$130.00; 37 C.F.R. §§ 1.52(d) and 1.17(k))

\$ _____

☐ Processing and retention fee

(\$130.00; 37 C.F.R. §§ 1.53(d) and 1.21(1))

\$ _____

☐ Fee for international-type search report

(\$40.00; 37 C.F.R. § 1.21(e))

\$ _____

Total fees enclosed

\$ 964.00

14. Method of Payment of Fees

- ☒ Attached is a check in the amount of \$964.00
☐ Authorization is hereby made to charge the amount of \$ _____
☐ to Deposit Account No _____. A duplicate of this paper is attached.
☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

15. Authorization to Charge Additional Fees

- ☒ The Office is hereby authorized to charge to Deposit Account No. 23-0822 the following additional fees that may be required by this paper and during the entire pendency of this application.
☒ 37 C.F.R. § 1.16(a), (f) or (g) (filing fees)
☐ 37 C.F.R. § 1.16(b), (c) and (d) (presentation of extra claims)
☐ 37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
☐ 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a)).
☐ 37 C.F.R. § 1.17(i) (application processing fees)
☐ 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b))

16. Instructions as to Overpayment

- ☒ Credit Account No. 23-0822
☐ Refund

Reg. No. 24,803

Tel. No. (818) 755-4848

Customer No. 36396



SIGNATURE OF PRACTITIONER

David Weiss

(printed name of attorney)

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INTELLECTUAL PROPERTY
PATENTS TRADEMARKS

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September 15, 2003

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: New Patent Application of: David Fu
Title: Display Holders for Flat Items
Attorney Docket 10419

Sir:

Enclosed for filing is the above-referenced regular patent application, 5 sheets of informal drawings, Combined Declaration and Power of Attorney, Assignment with Recordation Form Cover Sheet (Form PTO-1595), and a check in the amount of \$964.00 to cover the application filing fee (\$924) and the assignment recordal fee (\$40) (as shown in the enclosed "New Application Transmittal"). If the enclosed fee is deficient in any manner, please charge any such deficiency to Deposit Account No. 23-0822.

Applicant asserts small entity status.

Please acknowledge receipt by date-stamping and returning the enclosed postcard.

Respectfully submitted,

David Weiss
Registration No. 24,803
Attorney for Applicant

DW:ms
Enclosures